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# United States Patent [19] Ellis, III

[11] Patent Number: **6,163,982**

[45] Date of Patent: **\*Dec. 26, 2000**

- [54] **SHOE SOLE STRUCTURES**
- [75] Inventor: **Frampton E. Ellis, III**, Arlington, Va.
- [73] Assignee: **Anatomic Research, Inc.**, Arlington, Va.
- [\*] Notice: This patent is subject to a terminal disclaimer.
- [21] Appl. No.: **08/477,954**
- [22] Filed: **Jun. 7, 1995**

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2 622 411	5/1989	France .	
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(List continued on next page.)

### Related U.S. Application Data

- [63] Continuation-in-part of application No. 08/376,661, Jan. 23, 1995, which is a continuation of application No. 08/127,487, Sep. 28, 1993, abandoned, which is a continuation of application No. 07/729,886, Jul. 11, 1991, abandoned, which is a continuation of application No. 07/400,714, Aug. 30, 1989, abandoned.
- [51] **Int. Cl.<sup>7</sup>** ..... **A43B 13/12**; A43B 7/14
- [52] **U.S. Cl.** ..... **36/25 R**; 36/30 R; 36/31; 36/114; 36/88
- [58] **Field of Search** ..... 36/25 R, 32 R, 36/30 R, 31, 114, 88, 89, 11, 12, 127, 92, 93, 14, 15, 91, 113, 115, 140, 143, 144

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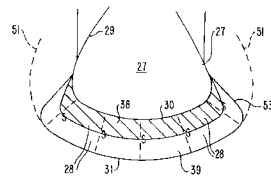
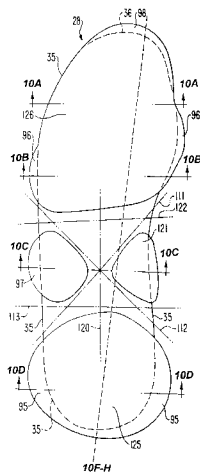
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*Primary Examiner*—M. D. Patterson  
*Attorney, Agent, or Firm*—Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.

### [57] ABSTRACT

In its simplest conceptual form, the applicant's invention is the structure of a conventional shoe sole that has been modified by having its sides bent up so that their inner surface conforms to a shape nearly identical but slightly smaller than the shape of the outer surface of the sides of the foot sole of the wearer (instead of the shoe sole sides conforming to the ground by paralleling it, as is conventional). The shoe sole sides are sufficiently flexible to bend out easily when the shoes are put on the wearer's feet and therefore the shoe soles gently hold the sides of the wearer's foot sole when on, providing the equivalent of custom fit in a mass-produced shoe sole. This invention can be applied to shoe sole structures based on a theoretically ideal stability plane as a basic concept, especially including structures exceeding that plane. The theoretically ideal stability plane is defined as the plane of the surface of the bottom of the shoe sole, wherein the shoe sole conforms to the natural shape of the wearer's foot sole, particularly its sides, and has a constant thickness in frontal or transverse plane cross sections.

**54 Claims, 11 Drawing Sheets**



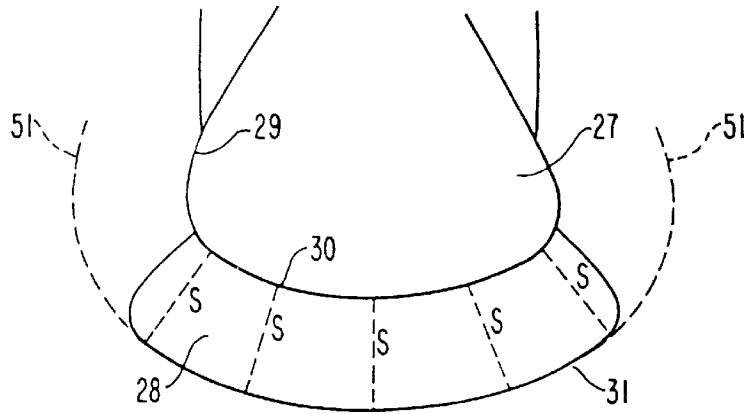
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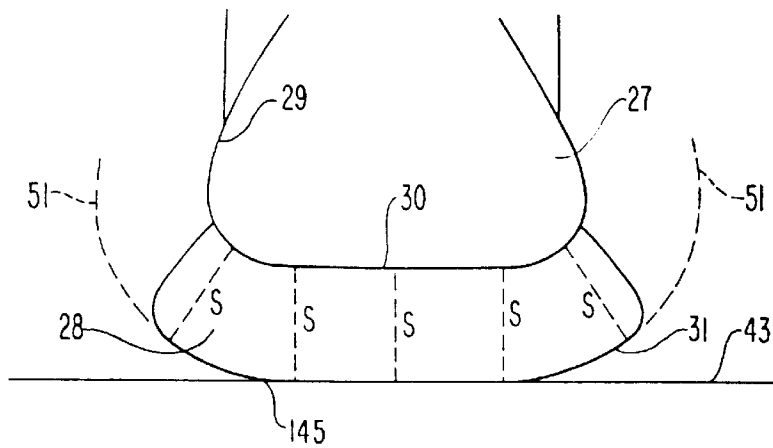
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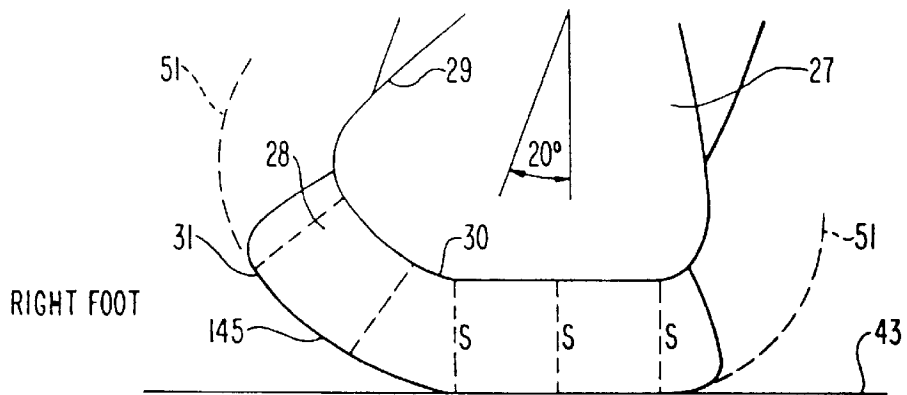
**FIG. 1A**



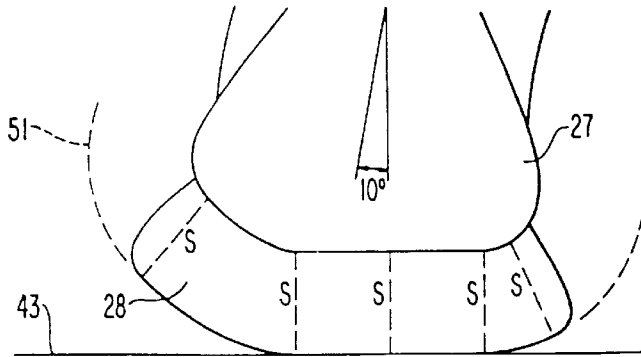
**FIG. 1B**



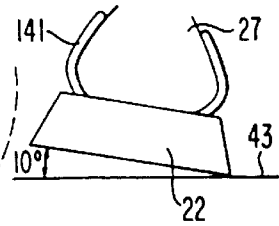
**FIG. 1C**



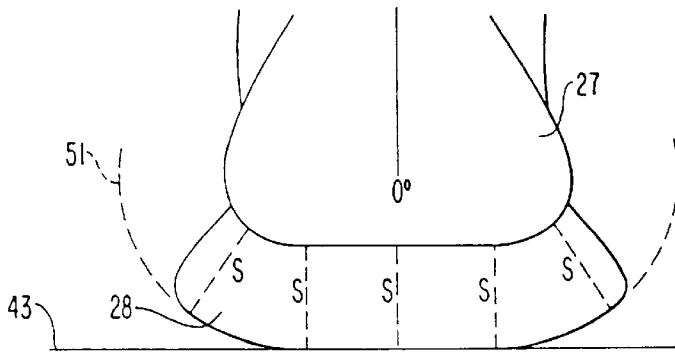
**FIG. 1D**



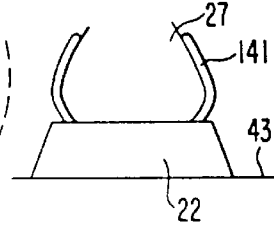
**FIG. 1G**



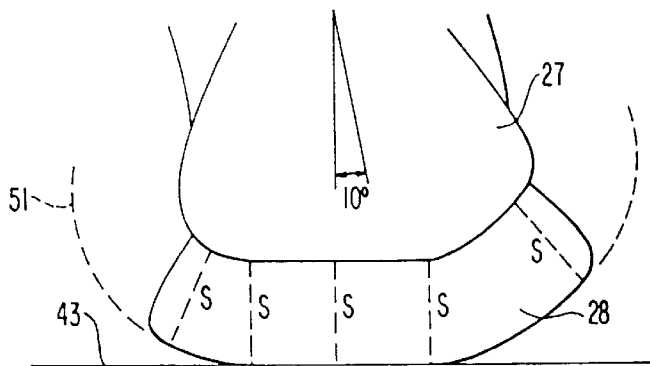
**FIG. 1E**



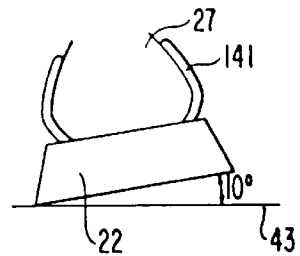
**FIG. 1H**



**FIG. 1F**

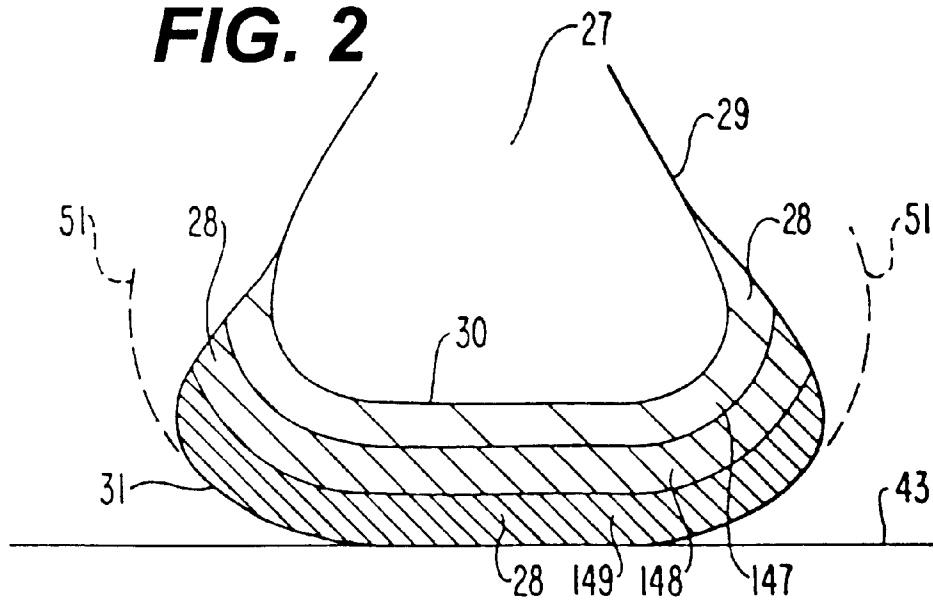


**FIG. 1I**

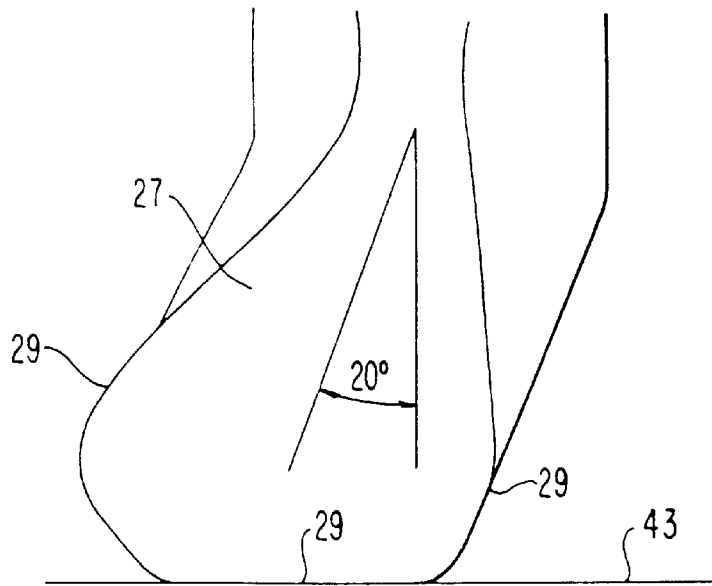


RIGHT FOOT

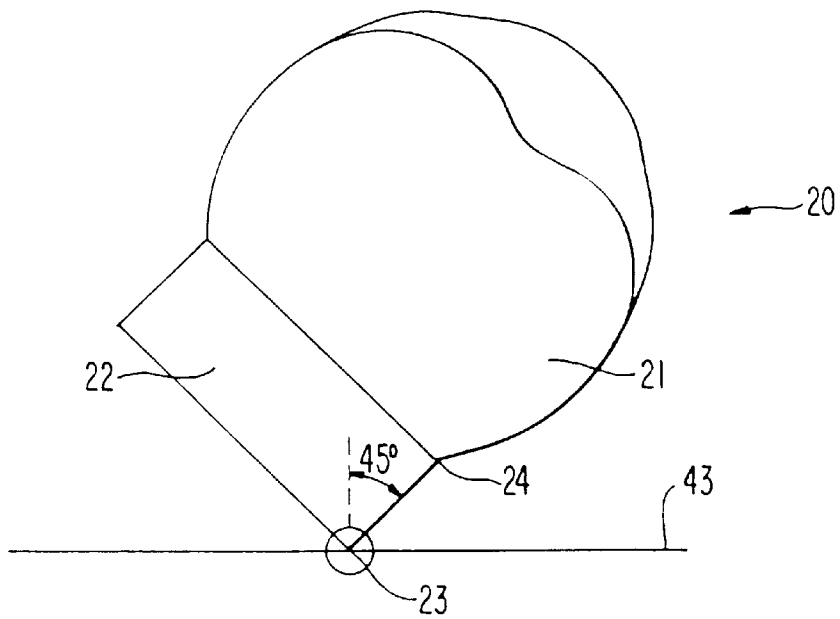
**FIG. 2**



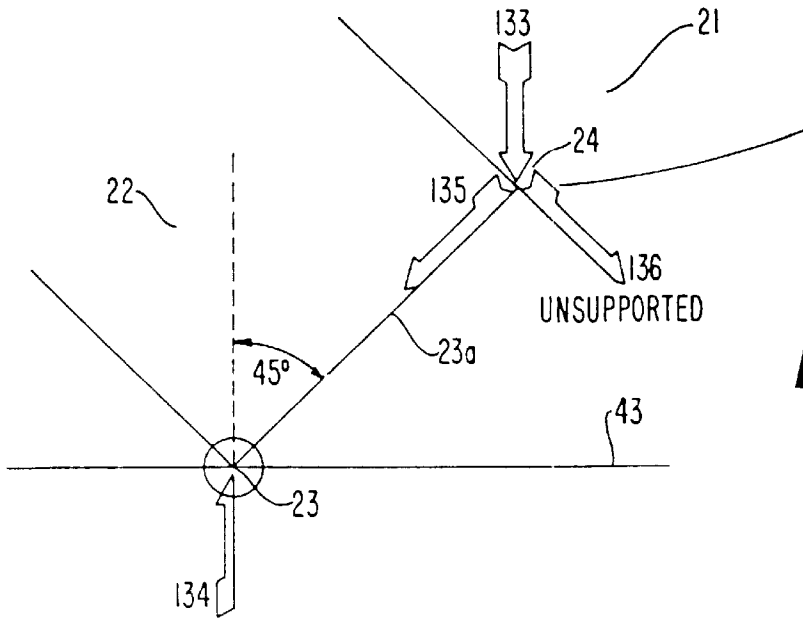
**FIG. 3**



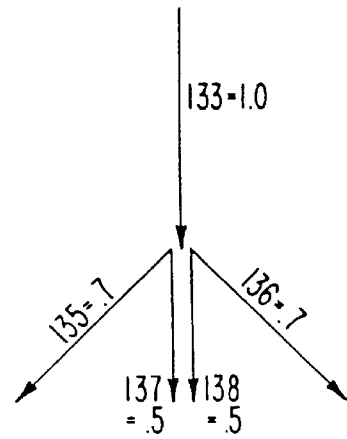
**FIG. 4**



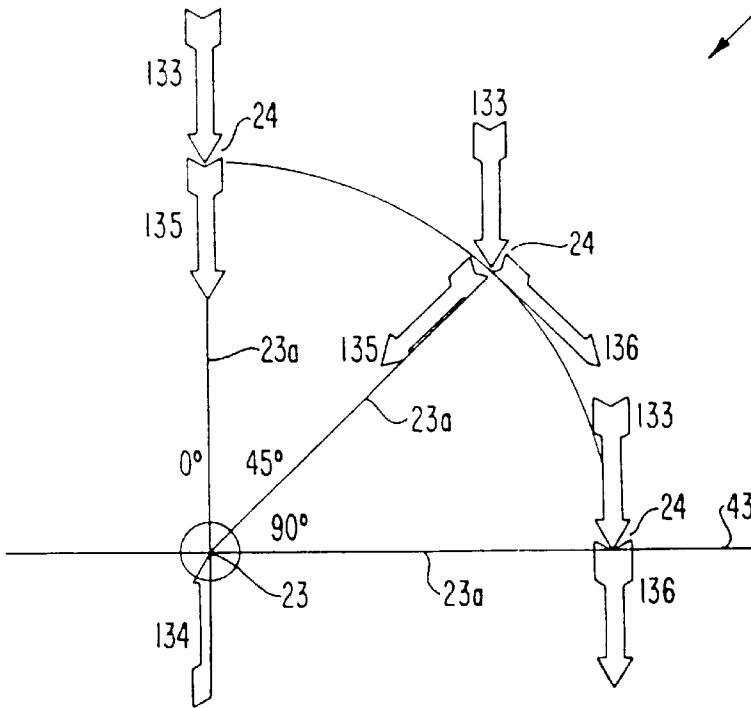
**FIG. 5A**



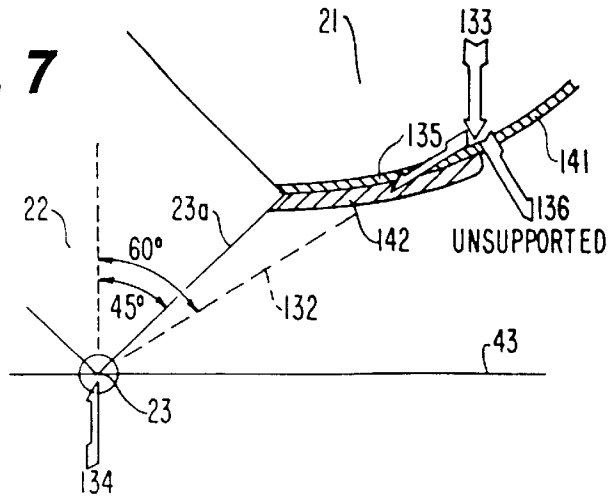
**FIG. 5B**



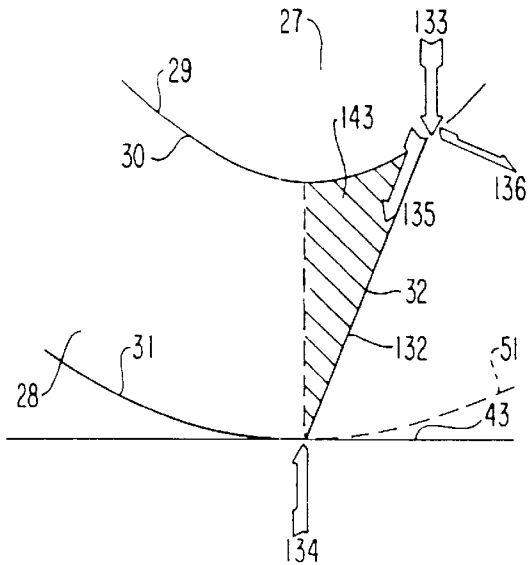
**FIG. 6**



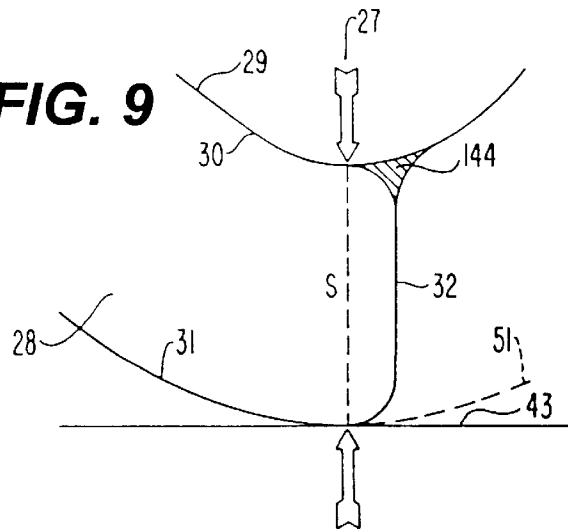
**FIG. 7**



**FIG. 8**

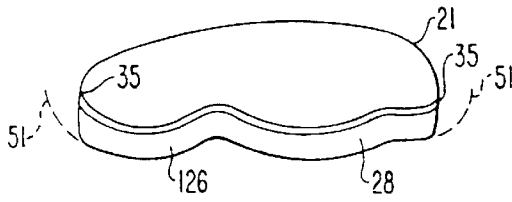


**FIG. 9**

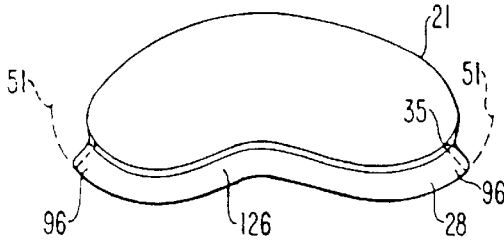




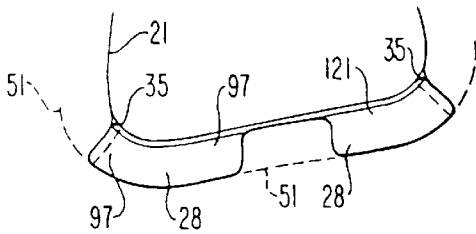
**FIG. 10A**



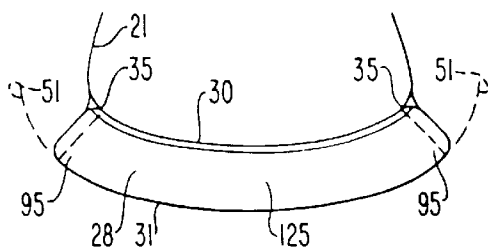
**FIG. 10B**



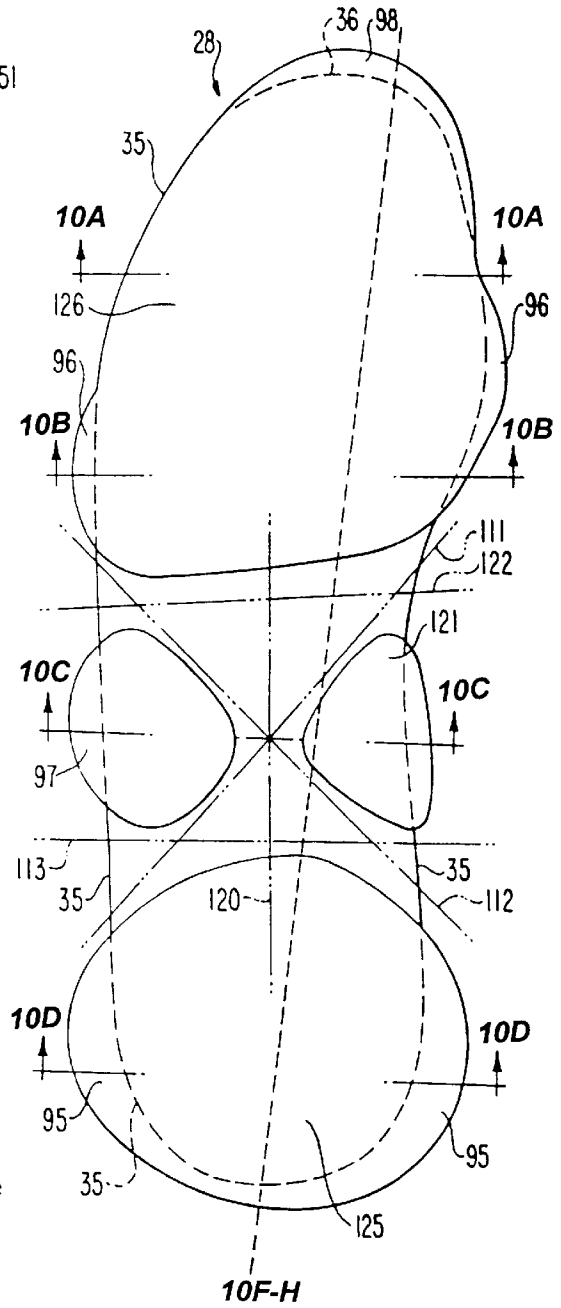
**FIG. 10C**



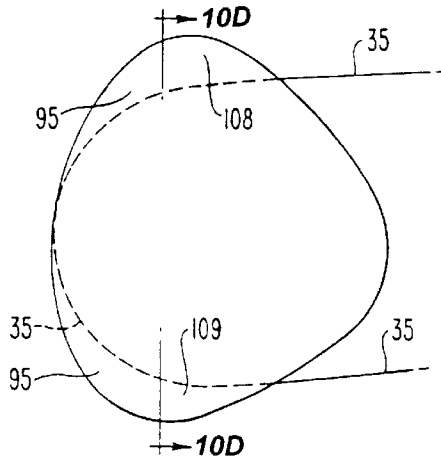
**FIG. 10D**



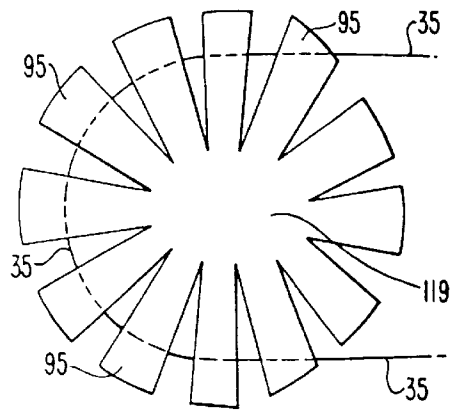
**FIG. 10E**



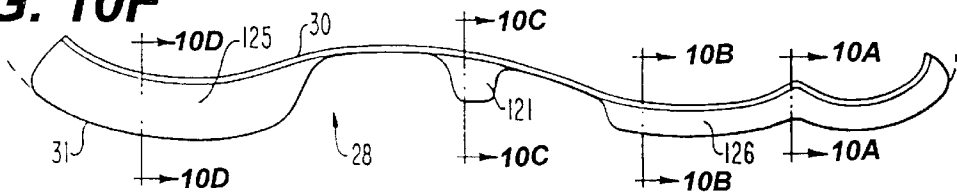
**FIG. 10E'**



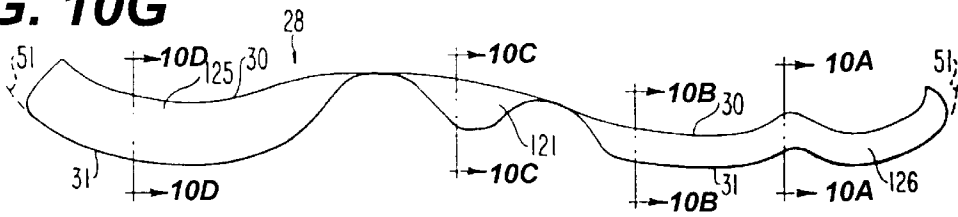
**FIG. 10J**



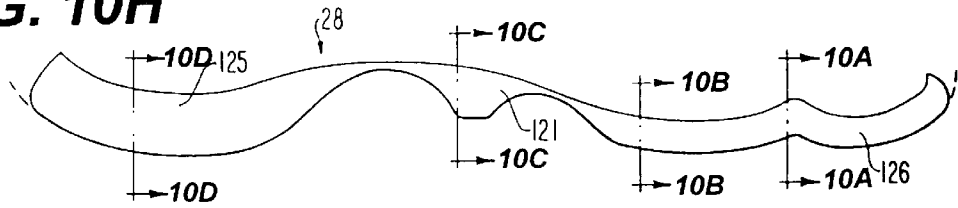
**FIG. 10F**



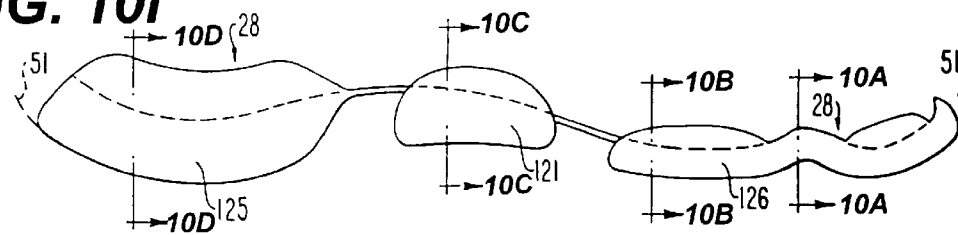
**FIG. 10G**



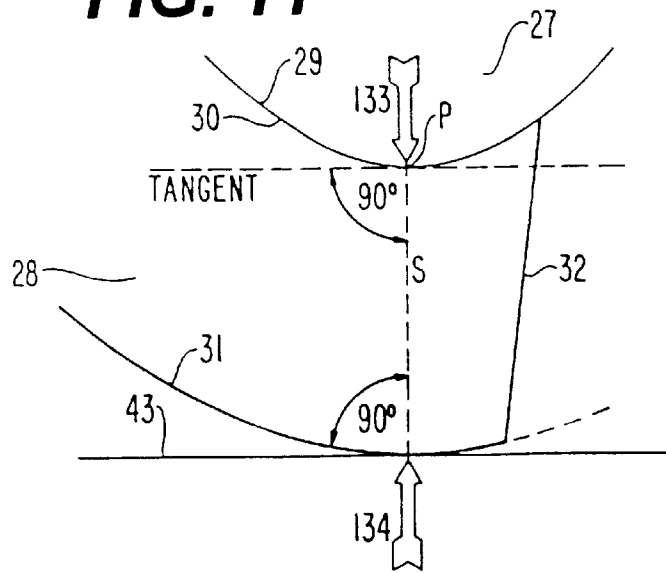
**FIG. 10H**



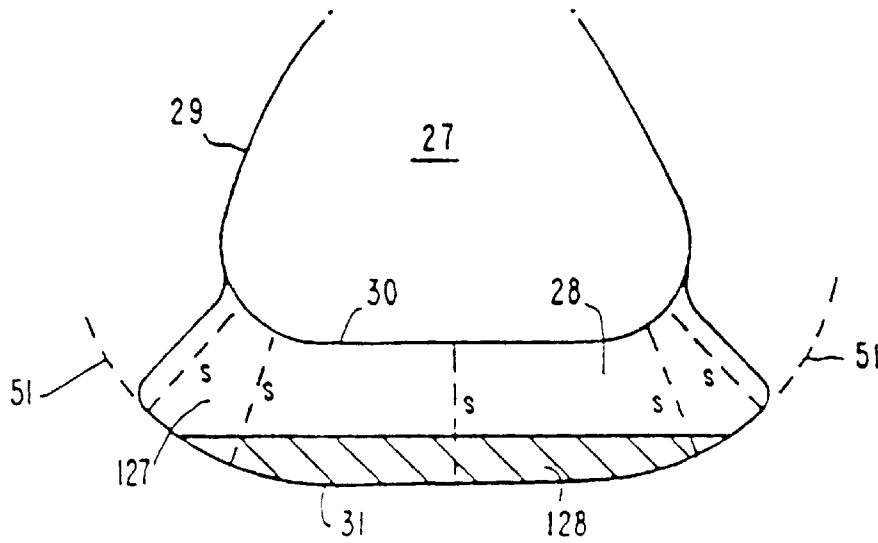
**FIG. 10I**



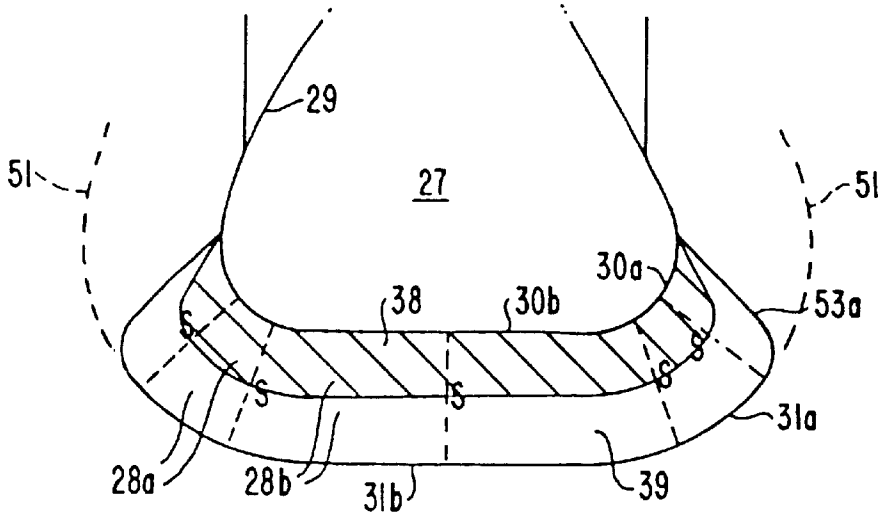
**FIG. 11**



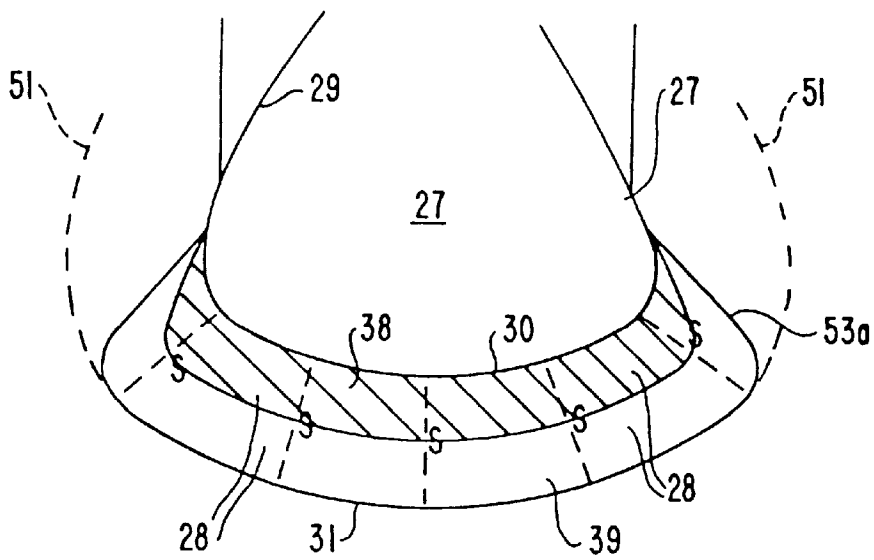
**FIG. 12**



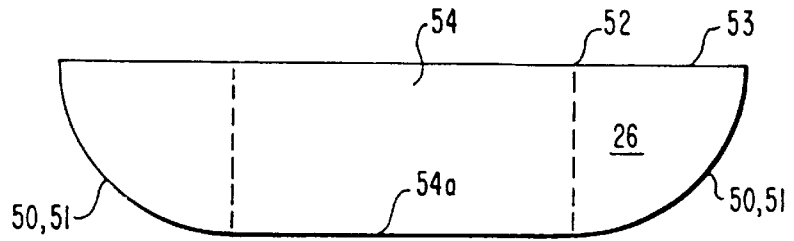
**FIG. 13**



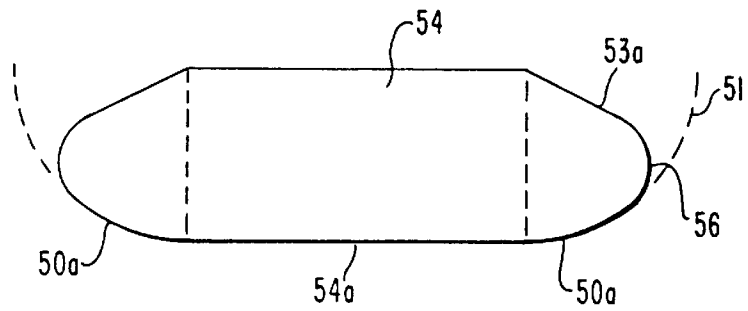
**FIG. 14**



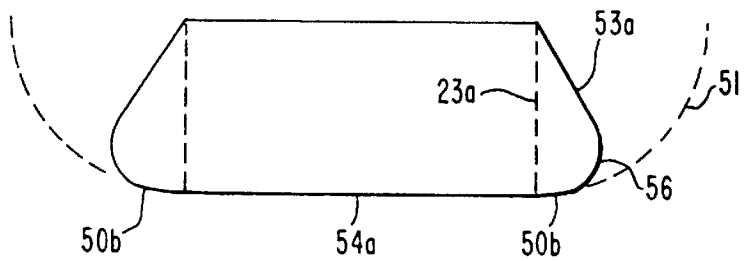
**FIG. 15A**



**FIG. 15B**



**FIG. 15C**



## SHOE SOLE STRUCTURES

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation-in-part of U.S. patent application Ser. No. 08/376,661, filed Jan. 23, 1995, which is a continuation of U.S. patent application Ser. No. 08/127,487 filed Sep. 28, 1993, now abandoned, which is a continuation of U.S. patent application Ser. No. 07/729,886 filed Jul. 11, 1991, now abandoned, which is a continuation of U.S. patent application Ser. No. 07/400,714 filed Aug. 30, 1989, now abandoned.

## FIELD AND BACKGROUND OF THE INVENTION

This invention relates generally to the structure of soles of shoes and other footwear, including soles of street shoes, hiking boots, sandals, slippers, and moccasins. More specifically, this invention relates to the structure of athletic shoe soles, including such examples as basketball and running shoes.

Still more particularly, this invention relates to variations in the structure of such soles using a theoretically ideal stability plane as a basic concept.

The applicant has introduced into the art the concept of a theoretically ideal stability plane as a structural basis for shoe sole designs. The theoretically ideal stability plane was defined by the applicant in previous copending applications as the plane of the surface of the bottom of the shoe sole, wherein the shoe sole conforms to the natural shape of the wearer's foot sole, particularly its sides, and has a constant thickness in frontal or transverse plane cross sections. Therefore, by definition, the theoretically ideal stability plane is the surface plane of the bottom of the shoe sole that parallels the surface of the wearer's foot sole in transverse or frontal plane cross sections.

The theoretically ideal stability plane concept as implemented into shoes such as street shoes and athletic shoes is presented in U.S. Pat. No. 4,989,349, issued Feb. 5, 1991 and U.S. Pat. No. 5,317,819, issued Jun. 7, 1994, both of which are incorporated by reference, as well as U.S. Pat. No. 5,544,429 issued Aug. 13, 1996; U.S. Pat. No. 4,989,349 issued from U.S. patent application Ser. No. 07/219,387. U.S. Pat. No. 5,317,819 issued from U.S. patent application Ser. No. 07/239,667.

This new invention is a modification of the inventions disclosed and claimed in the earlier applications and develops the application of the concept of the theoretically ideal stability plane to other shoe structures. Each of the applicant's applications is built directly on its predecessors and therefore all possible combinations of inventions or their component elements with other inventions or elements in prior and subsequent applications have always been specifically intended by the applicant. Generally, however, the applicant's applications are generic at such a fundamental level that it is not possible as a practical matter to describe every embodiment combination that offers substantial improvement over the existing art, as the length of this description of only some combinations will testify.

Accordingly, it is a general object of this invention to elaborate upon the application of the principle of the theoretically ideal stability plane to other shoe structures.

The purpose of this application is to specifically describe some of the most important combinations, especially those that constitute optimal ones.

Existing running shoes are unnecessarily unsafe. They profoundly disrupt natural human biomechanics. The resulting unnatural foot and ankle motion leads to what are abnormally high levels of running injuries.

Proof of the unnatural effect of shoes has come quite unexpectedly from the discovery that, at the extreme end of its normal range of motion, the unshod bare foot is naturally stable, almost unsprainable, while the foot equipped with any shoe, athletic or otherwise, is artificially unstable and abnormally prone to ankle sprains. Consequently, ordinary ankle sprains must be viewed as largely an unnatural phenomena, even though fairly common. Compelling evidence demonstrates that the stability of bare feet is entirely different from the stability of shoe-equipped feet.

The underlying cause of the universal instability of shoes is a critical but correctable design flaw. That hidden flaw, so deeply ingrained in existing shoe designs, is so extraordinarily fundamental that it has remained unnoticed until now. The flaw is revealed by a novel new biomechanical test, one that is unprecedented in its simplicity. It is easy enough to be duplicated and verified by anyone; it only takes a few minutes and requires no scientific equipment or expertise. The simplicity of the test belies its surprisingly convincing results. It demonstrates an obvious difference in stability between a bare foot and a running shoe, a difference so unexpectedly huge that it makes an apparently subjective test clearly objective instead. The test proves beyond doubt that all existing shoes are unsafely unstable.

The broader implications of this uniquely unambiguous discovery are potentially far-reaching. The same fundamental flaw in existing shoes that is glaringly exposed by the new test also appears to be the major cause of chronic overuse injuries, which are unusually common in running, as well as other sport injuries. It causes the chronic injuries in the same way it causes ankle sprains; that is, by seriously disrupting natural foot and ankle biomechanics.

These and other objects of the invention will become apparent from a detailed description of the invention which follows taken with the accompanying drawings.

## BRIEF SUMMARY OF THE INVENTION

In its simplest conceptual form, the applicant's invention is the structure of a conventional shoe sole that has been modified by having its sides bent up so that their inner surface conforms to a shape nearly identical (instead of the shoe sole sides being flat on the ground, as is conventional). This concept is like that described in FIG. 3 of the applicant's 5,317,819 Patent ("the '819 patent"); for the applicant's fully contoured design described in FIG. 15 of the '819 patent, the entire shoe sole—including both the sides and the portion directly underneath the foot—is bent up to conform to a shape nearly identical but slightly smaller than the contoured shape of the unloaded foot sole of the wearer, rather than the partially flattened load-bearing foot sole shown in FIG. 3.

This theoretical or conceptual bending up must be accomplished in practical manufacturing without any of the puckering distortion or deformation that would necessarily occur if such a conventional shoe sole were actually bent up simultaneously along all of its sides; consequently, manufacturing techniques that do not require any bending up of shoe sole material, such as injection molding manufacturing of the shoe sole, would be required for optimal results and therefore is preferable.

It is critical to the novelty of this fundamental concept that all layers of the shoe sole are bent up around the foot sole.

A small number of both street and athletic shoe soles that are commercially available are naturally contoured to a limited extent in that only their bottom soles, which are about one quarter to one third of the total thickness of the entire shoe sole, are wrapped up around portions of the wearers' foot soles; the remaining soles layers, including the insole, midsole and heel lift (or heel) of such shoe soles, constituting over half of the thickness of the entire shoe sole, remains flat, conforming to the ground rather than the wearers' feet. (At the other extreme, some shoes in the existing art have flat midsoles and bottom soles, but have insoles that conform to the wearer's foot sole.)

Consequently, in existing contoured shoe soles, the total shoe sole thickness of the contoured side portions, including every layer or portion, is much less than the total thickness of the sole portion directly underneath the foot, whereas in the applicant's shoe sole inventions the shoe sole thickness of the contoured side portions are at least similar to the thickness of the sole portion directly underneath the foot.

This major and conspicuous structural difference between the applicant's underlying concept and the existing shoe sole art is paralleled by a similarly dramatic functional difference between the two: the aforementioned equivalent or similar thickness of the applicant's shoe sole invention maintains intact the firm lateral stability of the wearer's foot, that stability as demonstrated when the foot is unshod and tilted out laterally in inversion to the extreme limit of the normal range of motion of the ankle joint of the foot. The sides of the applicant's shoe sole invention extend sufficiently far up the sides of the wearer's foot sole to maintain the lateral stability of the wearer's foot when bare.

In addition, the applicant's shoe sole invention maintains the natural stability and natural, uninterrupted motion of the wearer's foot when bare throughout its normal range of sideways pronation and supination motion occurring during all load-bearing phases of locomotion of the wearer, including when the wearer is standing, walking, jogging and running, even when the foot is tilted to the extreme limit of that normal range, in contrast to unstable and inflexible conventional shoe soles, including the partially contoured existing art described above. The sides of the applicant's shoe sole invention extend sufficiently far up the sides of the wearer's foot sole to maintain the natural stability and uninterrupted motion of the wearer's foot when bare. The exact thickness and material density of the shoe sole sides and their specific contour will be determined empirically for individuals and groups using standard biomechanical techniques of gait analysis to determine those combinations that best provide the barefoot stability described above.

In general, the applicant's preferred shoe sole embodiments include the structural and material flexibility to deform in parallel to the natural deformation of the wearer's foot sole as if it were bare and unaffected by any of the abnormal foot biomechanics created by rigid conventional shoe sole.

Directed to achieving the aforementioned objects and to overcoming problems with prior art shoes, a shoe according to the invention comprises a sole having at least a portion thereof following the contour of a theoretically ideal stability plane, and which further includes rounded edges at the finishing edge of the sole after the last point where the constant shoe sole thickness is maintained. Thus, the upper surface of the sole does not provide an unsupported portion that creates a destabilizing torque and the bottom surface does not provide an unnatural pivoting edge.

In another aspect of the invention, the shoe includes a naturally contoured sole structure exhibiting natural defor-

mation which closely parallels the natural deformation of a foot under the same load. In a preferred embodiment, the naturally contoured side portion of the sole extends to contours underneath the load-bearing foot. In another embodiment, the sole portion is abbreviated along its sides to essential support and propulsion elements wherein those elements are combined and integrated into the same discontinuous shoe sole structural elements underneath the foot, which approximate the principal structural elements of a human foot and their natural articulation between elements. The density of the abbreviated shoe sole can be greater than the density of the material used in an unabbreviated shoe sole to compensate for increased pressure loading. The essential support elements include the base and lateral tuberosity of the calcaneus, heads of the metatarsal, and the base of the fifth metatarsal.

The shoe sole of the invention is naturally contoured, paralleling the shape of the foot in order to parallel its natural deformation, and made from a material which, when under load and tilting to the side, deforms in a manner which closely parallels that of the foot of its wearer, while retaining nearly the same amount of contact of the shoe sole with the ground as in its upright state under load.

These and other features of the invention will become apparent from the detailed description of the invention which follows.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIGS. 1A to 1I illustrate functionally the principles of natural deformation.

FIG. 2 shows variations in the relative density of the shoe sole including the shoe insole to maximize an ability of the sole to deform naturally.

FIG. 3 is a rear view of a heel of a foot for explaining the use of a stationery sprain simulation test.

FIG. 4 is a rear view of a conventional running shoe unstably rotating about an edge of its sole when the shoe sole is tilted to the outside.

FIGS. 5A and 5B are diagrams of the forces on a foot when rotating in a shoe of the type shown in FIG. 2.

FIG. 6 is a view similar to FIG. 3 but showing further continued rotation of a foot in a shoe of the type shown in FIG. 2.

FIG. 7 is a force diagram during rotation of a shoe having motion control devices and heel counters.

FIG. 8 is another force diagram during rotation of a shoe having a constant shoe sole thickness, but producing a destabilizing torque because a portion of the upper sole surface is unsupported during rotation.

FIG. 9 shows an approach for minimizing destabilizing torque by providing only direct structural support and by rounding edges of the sole and its outer and inner surfaces.

FIGS. 10A, 10B, 10C, 10D, 10E, 10F, 10G, 10H, 10I, and 10J show a shoe sole having a fully contoured design but having sides which are abbreviated to the essential structural stability and propulsion elements that are combined and integrated into discontinuous structural elements underneath the foot that simulate those of the foot.

FIG. 11 is a diagram serving as a basis for an expanded discussion of a correct approach for measuring shoe sole thickness.

FIG. 12 shows an embodiment wherein the bottom sole includes most or all of the special contours of the new designs and retains a flat upper surface.

FIG. 13 shows, in frontal plane cross section at the heel portion of a shoe, a shoe sole with naturally contoured sides based on a theoretically ideal stability plane.

FIG. 14 shows a fully contoured shoe sole that follows the natural contour of the bottom of the foot as well as its sides, also based on the theoretically ideal stability plane.

FIGS. 15A–C, as seen in FIGS. 15A to 15C in frontal plane cross section at the heel, show a quadrant-sided shoe sole, based on a theoretically ideal stability plane.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

FIGS. 1A–C illustrate, in frontal plane cross sections in the heel area, the applicant's concept of the theoretically ideal stability plane applied to shoe soles.

FIGS. 1A–1C illustrate clearly the principle of natural deformation as it applies to the applicant's design, even though design diagrams like those preceding (and in his previous applications already referenced) are normally shown in an ideal state, without any functional deformation, obviously to show their exact shape for proper construction. That natural structural shape, with its contour paralleling the foot, enables the shoe sole to deform naturally like the foot. In the applicant's invention, the natural deformation feature creates such an important functional advantage it will be illustrated and discussed here fully. Note in the figures that even when the shoe sole shape is deformed, the constant shoe sole thickness in the frontal plane feature of the invention is maintained.

FIG. 1A shows a fully contoured shoe sole design that follows the natural contour of all of the foot sole, the bottom as well as the sides. The fully contoured shoe sole assumes that the resulting slightly rounded bottom when unloaded will deform under load as shown in FIG. 1B and flatten just as the human foot bottom is slightly round unloaded but flattens under load. Therefore, the shoe sole material must be of such composition as to allow the natural deformation following that of the foot. The design applies particularly to the heel, but to the rest of the shoe sole as well. By providing the closest match to the natural shape of the foot, the fully contoured design allows the foot to function as naturally as possible. Under load, FIG. 1A would deform by flattening to look essentially like FIG. 1B.

FIGS. 1A and 1B show in frontal plane cross section the essential concept underlying this invention, the theoretically ideal stability plane which is also theoretically ideal for efficient natural motion of all kinds, including running, jogging or walking. For any given individual, the theoretically ideal stability plane 51 is determined, first, by the desired shoe sole thickness (s) in a frontal plane cross section, and, second, by the natural shape of the individual's foot surface 29.

For the case shown in FIG. 1B, the theoretically ideal stability plane for any particular individual (or size average of individuals) is determined, first, by the given frontal plane cross section shoe sole thickness (s); second, by the natural shape of the individual's foot; and, third, by the frontal plane cross section width of the individual's load-bearing footprint which is defined as the upper surface of the shoe sole that is in physical contact with and supports the human foot sole.

FIG. 1B shows the same fully contoured design when upright, under normal load (body weight) and therefore deformed naturally in a manner very closely paralleling the natural deformation under the same load of the foot. An almost identical portion of the foot sole that is flattened in deformation is also flattened in deformation in the shoe sole.

FIG. 1C shows the same design when tilted outward 20 degrees laterally, the normal barefoot limit; with virtually equal accuracy it shows the opposite foot tilted 20 degrees inward, in fairly severe pronation. As shown, the deformation of the shoe sole 28 again very closely parallels that of the foot, even as it tilts. Just as the area of foot contact is almost as great when tilted 20 degrees, the flattened area of the deformed shoe sole is also nearly the same as when upright. Consequently, the barefoot fully supported structurally and its natural stability is maintained undiminished, regardless of shoe tilt. In marked contrast, a conventional shoe, shown in FIG. 3, makes contact with the ground with only its relatively sharp edge when tilted and is therefore inherently unstable.

The capability to deform naturally is a design feature of the applicant's naturally contoured shoe sole designs, whether fully contoured or contoured only at the sides, though the fully contoured design is most optimal and is the most natural, general case, as noted in the referenced Sep. 2, 1988, Application, assuming shoe sole material such as to allow natural deformation. It is an important feature because, by following the natural deformation of the human foot, the naturally deforming shoe sole can avoid interfering with the natural biomechanics of the foot and ankle.

FIG. 1C also represents with reasonable accuracy a shoe sole design corresponding to FIG. 1B, a naturally contoured shoe sole with a conventional built-in flattening deformation, except that design would have a slight crimp at 145. Seen in this light, the naturally contoured side design in FIG. 1B is a more conventional, conservative design that is a special case of the more generally fully contoured design in FIG. 1A, which is the closest to the natural form of the foot, but the least conventional.

In its simplest conceptual form, the applicant's FIG. 1 invention is the structure of a conventional shoe sole that has been modified by having its sides bent up so that their inner surface conforms to the shape of the outer surface of the foot sole of the wearer (instead of the shoe sole sides being flat on the ground, as is conventional); this concept is like that described in FIG. 3 of the applicant's '819 patent. For the applicant's fully contoured design, the entire shoe sole—including both the sides and the portion directly underneath the foot—is bent up to conform to the shape of the unloaded foot sole of the wearer, rather than the partially flattened load-bearing foot sole shown in FIG. 3 of the '819 patent.

This theoretical or conceptual bending up must be accomplished in practical manufacturing without any of the puckering distortion or deformation that would necessarily occur if such a conventional shoe sole were actually bent up simultaneously along all of its sides; consequently, manufacturing techniques that do not require any bending up of shoe sole material, such as injection molding manufacturing of the shoe sole, would be required for optimal results and therefore is preferable.

It is critical to the novelty of this fundamental concept that all layers of the shoe sole are bent up around the foot sole. A small number of both street and athletic shoe soles that are commercially available are naturally contoured to a limited extent in that only their bottom soles, which are about one quarter to one third of the total thickness of the entire shoe sole, are wrapped up around portions of the wearer's foot soles; the remaining sole layers, including the insole, the midsole and the heel lift (or heel) of such shoe soles, constituting over half of the thickness of the entire shoe sole, remains flat, conforming to the ground rather than the wearers' feet.



Consequently, in existing contoured shoe soles, the shoe sole thickness of the contoured side portions is much less than the bare foot, it will deform easily to provide this designed-in custom fit. The greater the flexibility of the shoe sole sides, the greater the range of individual foot size. This approach applies to the fully contoured design described here in FIG. 1A and in FIG. 15 of the '819 patent.

As discussed earlier by the applicant, the critical functional feature of a shoe sole is that it deforms under a weight-bearing load to conform to the foot sole just as the foot sole deforms to conform to the ground under a weight-bearing load. So, even though the foot sole and the shoe sole may start in different locations—the shoe sole sides can even be conventionally flat on the ground—the critical functional feature of both is that they both conform under load to parallel the shape of the ground, which conventional shoes do not, except when exactly upright. Consequently, the applicant's shoe sole invention, stated most broadly, includes any shoe sole—whether conforming to the wearer's foot sole or to the ground or some intermediate position, including a shape much smaller than the wearer's foot sole—that deforms to conform to the theoretically ideal stability plane, which by definition itself deforms in parallel with the deformation of the wearer's foot sole under weight-bearing load.

Of course, it is optimal in terms of preserving natural foot biomechanics, which is the primary goal of the applicant, for the shoe sole to conform to the foot sole when on the foot, not just when under a weight-bearing load. And, in any case, all of the essential structural support and propulsion elements must be supported by the foot sole.

To the extent the shoe sole sides are easily flexible, as has already been specified as desirable, the position of the shoe sole sides before the wearer puts on the shoe is less important, since the sides will easily conform to the shape of the wearer's foot when the shoe is put on that foot. In view of that, even shoe sole sides that conform to a shape more than slightly smaller than the shape of the outer surface of the wearer's foot sole would function in accordance with the applicant's general invention, since the flexible sides could bend out easily a considerable relative distance and still conform to the wearer's foot sole when on the wearer's foot.

FIG. 3 shows in a real illustration a foot 27 in position for a new biomechanical test that is the basis for the discovery that ankle sprains are in fact unnatural for the bare foot. The test simulates a lateral ankle sprain, where the foot 27—on the ground 43—rolls or tilts to the outside, to the extreme end of its normal range of motion, which is usually about 20 degrees at the heel 29, as shown in a rear view of a bare (right) heel in FIG. 3. Lateral (inversion) sprains are the most common ankle sprains, accounting for about three-fourths of all.

The especially novel aspect of the testing approach is to perform the ankle spraining simulation while standing stationary. The absence of forward motion is the key to the dramatic success of the test because otherwise it is impossible to recreate for testing purposes the actual foot and ankle motion that occurs during a lateral ankle sprain, and simultaneously to do it in a controlled manner, while at normal running speed or even jogging slowly, or walking. Without the critical control achieved by slowing forward motion all the way down to zero, any test subject would end up with a sprained ankle.

That is because actual running in the real world is dynamic and involves a repetitive force maximum of three times one's full body weight for each footstep, with sudden

peaks up to roughly five or six times for quick stops, missteps, and direction changes, as might be experienced when spraining an ankle. In contrast, in the static simulation test, the forces are tightly controlled and moderate, ranging from no force at all up to whatever maximum amount that is comfortable.

The Stationary Sprain Simulation Test (SSST) consists simply of standing stationary with one foot bare and the other shod with any shoe. Each foot alternately is carefully tilted to the outside up to the extreme end of its range of motion, simulating a lateral ankle sprain.

The Stationary Sprain Simulation Test clearly identifies what can be no less than a fundamental flaw in existing shoe design. It demonstrates conclusively that nature's biomechanical system, the bare foot, is far superior in stability to man's artificial shoe design. Unfortunately, it also demonstrates that the shoe's severe instability overpowers the natural stability of the human foot and synthetically creates a combined biomechanical system that is artificially unstable. The shoe is the weak link.

The test shows that the bare foot is inherently stable at the approximate 20 degree end of normal joint range because of the wide, steady foundation the bare heel 29 provides the ankle joint, as seen in FIG. 3. In fact, the area of physical contact of the bare heel 29 with the ground 43 is not much less when tilted all the way out to 20 degrees as when upright at 0 degrees.

The new Stationary Sprain Simulation Test provides a natural yardstick, totally missing until now, to determine whether any given shoe allows the foot within it to function naturally. If a shoe cannot pass this simple litmus test, it is positive proof that a particular shoe is interfering with natural foot and ankle biomechanics. The only question is the exact extent of the interference beyond that demonstrated by the new test.

Conversely, the applicant's designs are the only designs with shoe soles thick enough to provide cushioning (thin-soled and heel-less moccasins do pass the test, but do not provide cushioning and only moderate protection) that will provide naturally stable performance, like the bare foot, in the Stationary Sprain Simulation Test.

FIG. 4 shows that, in complete contrast the foot equipped with a conventional running shoe, designated generally by the reference numeral 20 and having an upper 21, though initially very stable while resting completely flat on the ground, becomes immediately unstable when the shoe sole 22 is tilted to the outside. The tilting motion lifts from contact with the ground all of the shoe sole 22 except the artificially sharp edge of the bottom outside corner. The shoe sole instability increases the farther the foot is rolled laterally. Eventually, the instability induced by the shoe itself is so great that the normal load-bearing pressure of full body weight would actively force an ankle sprain if not controlled. The abnormal tilting motion of the shoe does not stop at the barefoot's natural 20 degree limit, as you can see from the 45 degree tilt of the shoe heel in FIG. 4.

That continued outward rotation of the shoe past 20 degrees causes the foot to slip within the shoe, shifting its position within the shoe to the outside edge, further increasing the shoe's structural instability. The slipping of the foot within the shoe is caused by the natural tendency of the foot to slide down the typically flat surface of the tilted shoe sole; the more the tilt, the stronger the tendency. The heel is shown in FIG. 4 because of its primary importance in sprains due to its direct physical connection to the ankle ligaments that are torn in an ankle sprain and also because of the heel's predominant role within the foot in bearing body weight.

It is easy to see in the two figures how totally different the physical shape of the natural bare foot is compared to the shape of the artificial shoe sole. It is strikingly odd that the two objects, which apparently both have the same biomechanical function, have completely different physical shapes. Moreover, the shoe sole clearly does not deform the same way the human foot sole does, primarily as a consequence of its dissimilar shape.

FIG. 5A illustrates that the underlying problem with existing shoe designs is fairly easy to understand by looking closely at the principal forces acting on the physical structure of the shoe sole. When the shoe is tilted outwardly, the weight of the body held in the shoe upper **21** shifts automatically to the outside edge of the shoe sole **22**. But, strictly due to its unnatural shape, the tilted shoe sole **22** provides absolutely no supporting physical structure directly underneath the shifted body weight where it is critically needed to support that weight. An essential part of the supporting foundation is missing. The only actual structural support comes from the sharp corner edge **23** of the shoe sole **22**, which unfortunately is not directly under the force of the body weight after the shoe is tilted. Instead, the corner edge **23** is offset well to the inside.

As a result of that unnatural misalignment, a lever arm **23a** is set up through the shoe sole **22** between two interacting forces (called a force couple): the force of gravity on the body (usually known as body weight **133**) applied at the point **24** in the upper **21** and the reaction force **134** of the ground, equal to and opposite to body weight when the shoe is upright. The force couple creates a force moment, commonly called torque, that forces the shoe **20** to rotate to the outside around the sharp corner edge **23** of the bottom sole **22**, which serves as a stationary pivoting point **23** or center of rotation.

Unbalanced by the unnatural geometry of the shoe sole when tilted, the opposing two forces produce torque, causing the shoe **20** to tilt even more. As the shoe **20** tilts further, the torque forcing the rotation becomes even more powerful, so the tilting process becomes a self-reinforcing cycle. The more the shoe tilts, the more destabilizing torque is produced to further increase the tilt.

The problem may be easier to understand by looking at the diagram of the force components of body weight shown in FIG. 5A.

When the shoe sole **22** is tilted out 45 degrees, as shown, only half of the downward force of body weight **133** is physically supported by the shoe sole **22**; the supported force component **135** is 71% of full body weight **133**. The other half of the body weight at the 45 degree tilt is unsupported physically by any shoe sole structure; the unsupported component is also 71% of full body weight **133**. It therefore produces strong destabilizing outward tilting rotation, which is resisted by nothing structural except the lateral ligaments of the ankle.

FIG. 5B show that the full force of body weight **133** is split at 45 degrees of tilt into two equal components: supported **135** and unsupported **136**, each equal to 0.707 of full body weight **133**. The two vertical components **137** and **138** of body weight **133** are both equal to 0.50 of full body weight. The ground reaction force **134** is equal to the vertical component **137** of the supported component **135**.

FIG. 6 show a summary of the force components at shoe sole tilts of 0, 45 and 90 degrees. FIG. 6, which uses the same reference numerals as in FIG. 5, shows that, as the outward rotation continues to 90 degrees, and the foot slips within the shoe while ligaments stretch and/or break, the

destabilizing unsupported force component **136** continues to grow. When the shoe sole has tilted all the way out to 90 degrees (which unfortunately does happen in the real world), the sole **22** is providing no structural support and there is no supported force component **135** of the full body weight **133**. The ground reaction force at the pivoting point **23** is zero, since it would move to the upper edge **24** of the shoe sole.

At that point of 90 degree tilt, all of the full body weight **133** is directed into the unresisted and unsupported force component **136**, which is destabilizing the shoe sole very powerfully. In other words, the full weight of the body is physically unsupported and therefore powering the outward rotation of the shoe sole that produces an ankle sprain. Insidiously, the farther ankle ligaments are stretched, the greater the force on them.

In stark contrast, untilted at 0 degrees, when the shoe sole is upright, resting flat on the ground, all of the force of body weight **133** is physically supported directly by the shoe sole and therefore exactly equals the supported force component **135**, as also shown in FIG. 6. In the untilted position, there is no destabilizing unsupported force component **136**.

FIG. 7 illustrates that the extremely rigid heel counter **141** typical of existing athletic shoes, together with the motion control device **142** that are often used to strongly reinforce those heel counters (and sometimes also the sides of the mid- and forefoot), are ironically counterproductive. Though they are intended to increase stability, in fact they decrease it. FIG. 7 shows that when the shoe **20** is tilted out, the foot is shifted within the upper **21** naturally against the rigid structure of the typical motion control device **142**, instead of only the outside edge of the shoe sole **22** itself. The motion control support **142** increases by almost twice the effective lever arm **132** (compared to **23a**) between the force couple of body weight and the ground reaction force at the pivot point **23**. It doubles the destabilizing torque and also increases the effective angle of tilt so that the destabilizing force component **136** becomes greater compared to the supported component **135**, also increasing the destabilizing torque. To the extent the foot shifts further to the outside, the problem becomes worse. Only by removing the heel counter **141** and the motion control devices **142** can the extension of the destabilizing lever arm be avoided. Such an approach would primarily rely on the applicant's contoured shoe sole to "cup" the foot (especially the heel), and to a much lesser extent the non-rigid fabric or other flexible material of the upper **21**, to position the foot, including the heel, on the shoe. Essentially, the naturally contoured sides of the applicant's shoe sole replace the counter-productive existing heel counters and motion control devices, including those which extend around virtually all of the edge of the foot.

FIG. 8 shows that the same kind of torsional problem, though to a much more moderate extent, can be produced in the applicant's naturally contoured design of the applicant's earlier filed applications. There, the concept of a theoretically-ideal stability plane was developed in terms of a sole **28** having a lower surface **31** and an upper surface **30** which are spaced apart by a predetermined distance which remains constant throughout the sagittal frontal planes. The outer surface **27** of the foot is in contact with the upper surface **30** of the sole **28**. Though it might seem desirable to extend the inner surface **30** of the shoe sole **28** up around the sides of the foot **27** to further support it (especially in creating anthropomorphic designs), FIG. 8 indicates that only that portion of the inner shoe sole **28** that is directly supported structurally underneath by the rest of the shoe sole is effective in providing natural support and stability. Any point on the upper surface **30** of the shoe sole **28** that is not

supported directly by the constant shoe sole thickness (as measured by a perpendicular to a tangent at that point and shown in the shaded area 143) will tend to produce a moderate destabilizing torque. To avoid creating a destabilizing lever arm 132, only the supported contour sides and non-rigid fabric or other material can be used to position the foot on the shoe sole 28.

FIG. 9 illustrates an approach to minimize structurally the destabilizing lever arm 32 and therefore the potential torque problem. After the last point where the constant shoe sole thickness (s) is maintained, the finishing edge of the shoe sole 28 should be tapered gradually inward from both the top surface 30 and the bottom surface 31, in order to provide matching rounded or semi-rounded edges. In that way, the upper surface 30 does not provide an unsupported portion that creates a destabilizing torque and the bottom surface 31 does not provide an unnatural pivoting edge. The gap 144 between shoe sole 28 and foot sole 29 at the edge of the shoe sole can be "caulked" with exceptionally soft sole material as indicated in FIG. 9 that, in the aggregate (i.e. all the way around the edge of the shoe sole), will help position the foot in the shoe sole. However, at any point of pressure when the shoe tilts, it will deform easily so as not to form an unnatural lever causing a destabilizing torque.

FIG. 10 illustrates a fully contoured design, but abbreviated along the sides to only essential structural stability and propulsion shoe sole elements as shown in FIG. 21 of the '819 patent combined with the freely articulating structural elements underneath the foot as shown in FIG. 28 of the '819 patent. The unifying concept is that, on both the sides and underneath the main load-bearing portions of the shoe sole, only the important structural (i.e. bone) elements of the foot should be supported by the shoe sole, if the natural flexibility of the foot is to be paralleled accurately in shoe sole flexibility, so that the shoe sole does not interfere with the foot's natural motion. In a sense, the shoe sole should be composed of the same main structural elements as the foot and they should articulate with each other just as do the main joints of the foot.

FIG. 10E shows the horizontal plane bottom view of the right foot corresponding to the fully contoured design previously described, but abbreviated, that is, having indentations along the sides to only essential structural support and propulsion elements which are all concavely rounded bulges as shown. The concavity of the bulges exists with respect to an intended wearer's foot location in the shoe. Shoe sole material density can be increased in the unabbreviated essential elements to compensate for increased pressure loading there. The essential structural support elements are the base and lateral tuberosity of the calcaneus 95, the heads of the metatarsals 96, and the base of the fifth metatarsal 97 (and the adjoining cuboid in some individuals). They must be supported both underneath and to the outside edge of the foot for stability. The essential propulsion element is the head of the first distal phalange 98. FIG. 10 shows that the naturally contoured stability sides need not be used except in the identified essential areas. Weight savings and flexibility improvements can be made by omitting the non-essential stability sides.

The design of the portion of the shoe sole directly underneath the foot shown in FIG. 10 allows for unobstructed natural inversion/eversion motion of the calcaneus by providing maximum shoe sole flexibility particularly at a midtarsal portion of the sole member, between the base of the calcaneus 125 (heel) and the metatarsal heads 126 (forefoot) along an axis 120. An unnatural torsion occurs about that axis if flexibility is insufficient so that a conven-

tional shoe sole interferes with the inversion/eversion motion by restraining it. The object of the design is to allow the relatively more mobile (in inversion and eversion) calcaneus to articulate freely and independently from the relatively more fixed forefoot instead of the fixed or fused structure or lack of stable structure between the two in conventional designs. In a sense, freely articulating joints are created in the shoe sole that parallel those of the foot. The design is to remove nearly all of the shoe sole material between the heel and the forefoot, except under one of the previously described essential structural support elements, the base of the fifth metatarsal 97. An optional support for the main longitudinal arch 121 may also be retained for runners with substantial foot pronation, although would not be necessary for many runners.

The forefoot can be subdivided (not shown) into its component essential structural support and propulsion elements, the individual heads of the metatarsal and the heads of the distal phalanges, so that each major articulating joint set of the foot is paralleled by a freely articulating shoe sole support propulsion element, an anthropomorphic design; various aggregations of the subdivision are also possible.

The design in FIG. 10 features an enlarged structural support at the base of the fifth metatarsal in order to include the cuboid, which can also come into contact with the ground under arch compression in some individuals. In addition, the design can provide general side support in the heel area, as in FIG. 10E or alternatively can carefully orient the stability sides in the heel area to the exact positions of the lateral calcaneal tuberosity 108 and the main base of the calcaneus 109, as in FIG. 10E (showing heel area only of the right foot). FIGS. 10A-D show frontal plane cross sections of the left shoe and FIG. 10E shows a bottom view of the right foot, with flexibility axes 120, 122, 111, 112 and 113 indicated. FIG. 10F shows a sagittal plane cross section showing the structural elements joined by very thin and relatively soft upper midsole layer 147. FIGS. 10G and 10H show similar cross sections with slightly different designs featuring durable fabric only (slip-lasted shoe), or a structurally sound arch design, respectively. FIG. 10I shows a side medial view of the shoe sole.

FIG. 10J shows a simple interim or low cost construction for the articulating shoe sole support element 95 for the heel (showing the heel area only of the right foot); while it is most critical and effective for the heel support element 95, it can also be used with the other elements, such as the base of the fifth metatarsal 97 and the long arch 121. The heel sole element 95 shown can be a single flexible layer or a lamination of layers. When cut from a flat sheet or molded in the general pattern shown, the outer edges can be easily bent to follow the contours of the foot, particularly the sides. The shape shown allows a flat or slightly contoured heel element 95 to be attached to a highly contoured shoe upper or very thin upper sole layer like that shown in FIG. 10F. Thus, a very simple construction technique can yield a highly sophisticated shoe sole design. The size of the center section 119 can be small to conform to a fully or nearly fully contoured design or larger to conform to a contoured sides design, where there is a large flattened sole area under the heel. The flexibility is provided by the removed diagonal sections, the exact proportion of size and shape can vary.

FIG. 11 illustrates an expanded explanation of the correct approach for measuring shoe sole thickness according to the naturally contoured design, as described previously in FIGS. 23 and 24 of the '819 patent. The tangent described in those figures would be parallel to the ground when the shoe sole

is tilted out sideways, so that measuring shoe sole thickness along the perpendicular will provide the least distance between the point on the upper shoe sole surface closest to the ground and the closest point to it on the lower surface of the shoe sole (assuming no load deformation).

FIG. 12 shows a non-optimal but interim or low cost approach to shoe sole construction, whereby the midsole and heel lift 127 are produced conventionally, or nearly so (at least leaving the midsole bottom surface flat, though the sides can be contoured), while the bottom or outer sole 128 includes most or all of the special contours of the new design. Not only would that completely or mostly limit the special contours to the bottom sole, which would be molded specially, it would also ease assembly, since two flat surfaces of the bottom of the midsole and the top of the bottom sole could be mated together with less difficulty than two contoured surfaces, as would be the case otherwise. The advantage of this approach is seen in the naturally contoured design example illustrated in FIG. 12A, which shows some contours on the relatively softer midsole sides, which are subject to less wear but benefit from greater traction for stability and ease of deformation, while the relatively harder contoured bottom sole provides good wear for the load-bearing areas.

FIGS. 13–15 show frontal plane cross sectional views of a shoe sole according to the applicant's prior inventions based on the theoretically ideal stability plane, taken at about the ankle joint to show the heel section of the shoe. The concept of the theoretically ideal stability plane, as developed in the prior applications as noted, defines the plane 51 in terms of a locus of points determined by the thickness(es) of the sole.

FIG. 13 shows, in a rear cross sectional view, the inner surface of the shoe sole conforming to the natural contour of the foot and the thickness of the shoe sole remaining constant in the frontal plane, so that the outer surface coincides with the theoretically ideal stability plane.

FIG. 14 shows a fully contoured shoe sole design that follows the natural contour of all of the foot, the bottom as well as the sides, while retaining a constant shoe sole thickness in the frontal plane.

The fully contoured shoe sole assumes that the resulting slightly rounded bottom when unloaded will deform under load and flatten just as the human foot bottom is slightly rounded unloaded but flattens under load; therefore, shoe sole material must be of such composition as to allow the natural deformation following that of the foot. The design applies particularly to the heel, but to the rest of the shoe sole as well. By providing the closest match to the natural shape of the foot, the fully contoured design allows the foot to function as naturally as possible. Under load, FIG. 2 would deform by flattening to look essentially like FIG. 13. Seen in this light, the naturally contoured side design in FIG. 13 is a more conventional, conservative design that is a special case of the more general fully contoured design in FIG. 14, which is the closest to the natural form of the foot, but the least conventional. The amount of deformation flattening used in the FIG. 13 design, which obviously varies under different loads, is not an essential element of the applicant's invention.

FIGS. 13 and 14 both show in frontal plane cross sections the theoretically ideal stability plane, which is also theoretically ideal for efficient natural motion of all kinds, including running, jogging or walking. FIG. 14 shows the most general case, the fully contoured design, which conforms to the natural shape of the unloaded foot. For any given individual,

the theoretically ideal stability plane 51 is determined, first, by the desired shoe sole thickness(es) in a frontal plane cross section, and, second, by the natural shape of the individual's foot surface 29.

5 For the special case shown in FIG. 13, the theoretically ideal stability plane for any particular individual (or size average of individuals) is determined, first, by the given frontal plane cross section shoe sole thickness(es); second, by the natural shape of the individual's foot; and, third, by the frontal plane cross section width of the individual's load-bearing footprint 30b, which is defined as the upper surface of the shoe sole that is in physical contact with and supports the human foot sole.

10 The theoretically ideal stability plane for the special case is composed conceptually of two parts. Shown in FIG. 13, the first part is a line segment 31b of equal length and parallel to line 30b at a constant distance(s) equal to shoe sole thickness. This corresponds to a conventional shoe sole directly underneath the human foot, and also corresponds to the flattened portion of the bottom of the load-bearing foot sole 28b. The second part is the naturally contoured stability side outer edge 31a located at each side of the first part, line segment 31b. Each point on the contoured side outer edge 31a is located at a distance which is exactly shoe sole thickness(es) from the closest point on the contoured side inner edge 30a.

15 In summary, the theoretically ideal stability plane is used to determine a geometrically precise bottom contour of the shoe sole based on a top contour that conforms to the contour of the foot.

20 It can be stated unequivocally that any shoe sole contour, even of similar contour, that exceeds the theoretically ideal stability plane will restrict natural foot motion, while any less than that plane will degrade natural stability, in direct proportion to the amount of the deviation. The theoretical ideal was taken to be that which is closest to natural.

25 FIG. 15 illustrates in frontal plane cross section another variation that uses stabilizing quadrants 26 at the outer edge of a conventional shoe sole 28b illustrated generally at the reference numeral 28. The stabilizing quadrants would be abbreviated in actual embodiments.

What is claimed is:

1. An athletic shoe sole for supporting a foot of an intended wearer, the shoe sole comprising:
  - 30 a sole inner surface for supporting the foot of the intended wearer, and a sole outer surface, the sole outer surface having a sole middle portion and at least a sole side adjacent to the sole middle portion;
  - 35 the sole defining a heel portion at a location substantially corresponding to a calcaneus of the intended wearer's foot, a midtarsal portion at a location substantially corresponding to a midtarsal of the intended wearer's foot, and a forefoot portion at a location substantially corresponding to a forefoot of the intended wearer's foot;
  - 40 the heel portion having a lateral heel part at a location substantially corresponding to the lateral tuberosity of the calcaneus of the intended wearer's foot, and a medial heel part at a location substantially corresponding to the base of the calcaneus of the intended wearer's foot;
  - 45 the midtarsal portion being between the forefoot portion and heel portion, and having a lateral midtarsal part at a location substantially corresponding to the base of a fifth metatarsal of the intended wearer's foot, and a main longitudinal arch part at a location substantially

## 15

corresponding to the longitudinal arch of the intended wearer's foot;

the forefoot portion having a forward medial forefoot part at a location substantially corresponding to the head of the first distal phalange of the intended wearer's foot, and rear medial and lateral forefoot parts at locations substantially corresponding to the heads of medial and lateral metatarsal of the intended wearer's foot;

the sole further including at least one concavely rounded bulge, as viewed in a shoe sole frontal plane, during a shoe sole unloaded, upright condition, the concavity existing with respect to the intended wearer's foot location in the shoe;

one said concavely rounded bulge being located at the sole side proximate to at least one of the: medial heel part, lateral heel part, forward medial forefoot part, rear medial forefoot part, rear lateral forefoot part, lateral midtarsal part, and main longitudinal arch part;

each said at least one concavely rounded bulge including a concavely rounded portion of the inner surface of a midsole component and a concavely rounded portion of the sole outer surface, all as viewed in a shoe sole frontal plane during a shoe sole upright, unloaded condition, the concavity existing with respect to the intended wearer's foot location in the shoe;

the sole including a lateral sidemost section and a medial sidemost section, each section at a location outside of a straight vertical line extending through the shoe sole at a respective sidemost extent of a midsole component inner surface, as viewed in a shoe sole frontal plane cross section during an unloaded, upright shoe sole condition;

each said at least one concavely rounded bulge includes midsole component extending into the sidemost section of the same sole side as said bulge, as viewed in a sole frontal plane cross section during an unloaded, upright shoe sole condition;

each said at least one concavely rounded bulge further includes a midsole component upper part extending up said at least one concavely rounded bulge to above a level corresponding to a lowest point of the midsole component inner surface of the same sole side as said bulge, as viewed in a shoe sole frontal plane cross section during an unloaded, upright shoe sole condition; and

the sole outer surface of at least part of the midtarsal portion is substantially convexly rounded, as viewed in a shoe sole sagittal plane cross section during an unloaded, upright shoe sole condition, the convexity existing with respect to an intended wearer's foot location in the shoe;

the concavely rounded portion of the sole outer surface extending through at least a lowermost part of said sole side; and wherein

the shoe sole includes at least two said concavely rounded bulges; and

a heel portion thickness that is greater than a forefoot portion thickness, as viewed in a shoe sole sagittal plane.

2. The shoe sole of claim 1, wherein the shoe sole includes at least three said concavely rounded bulges.
3. A sole according to claim 1, wherein one said concavely rounded bulge is located at the lateral midtarsal part.
4. A sole according to claim 1, wherein one said concavely rounded bulge is located at the lateral midtarsal part, another

## 16

said concavely rounded bulge is located at the rear lateral forefoot part, the sole having an indentation between the lateral midtarsal part and rear lateral forefoot part concavely rounded bulges for forming a first flexibility axis in the sole.

5. A sole according to claim 1, wherein one said concavely rounded bulge is located at the lateral heel part, another said concavely rounded bulge is located at the lateral midtarsal part, and an indentation is located between said concavely rounded bulges for forming a flexibility axis in the sole.
6. The shoe sole of claim 1, further including an indentation in the shoe sole adjacent to the one said concavely rounded bulge, as viewed in a shoe sole horizontal plane during a shoe sole upright, unloaded condition.
7. The shoe sole of claim 6, wherein the indentation is a first indentation, and the sole includes a second indentation, such that the first indentation is located anterior to one said concavely rounded bulge and the second indentation is located posterior to one said concavely rounded bulge, all as viewed in a shoe sole horizontal plane during a shoe sole upright, unloaded condition.
8. The shoe sole of claim 6, wherein one said concavely rounded bulge is located at the heel portion of the shoe sole, and the first indentation is located on a lateral side of the shoe sole anterior to the heel portion bulge, and the second indentation is located on a medial side of the shoe sole anterior to the heel portion bulge, all as viewed in a shoe sole horizontal plane.
9. The shoe sole of claim 1, wherein one said concavely rounded bulge includes a tapered portion having a thickness that decreases gradually from a greatest thickness to a least thickness on a side of the bulge, as viewed in a shoe sole horizontal plane during a shoe sole upright, unloaded condition.
10. The shoe sole of claim 9, wherein at least part of the sole outer surface of the tapered portion is concavely rounded, as viewed in the shoe sole horizontal plane during a shoe sole upright, unloaded condition, the concavity existing with respect to a longitudinal axis of the shoe sole.
11. The shoe sole of claim 10, wherein the shoe sole includes at least three said concavely rounded bulges.
12. The shoe sole of claim 10, wherein said at least one concavely rounded bulge encompasses substantially all of its respective part.
13. The shoe sole of claim 10, wherein one said concavely rounded bulge encompasses only said respective part.
14. A sole according to claim 10, wherein one said concavely rounded bulge is located at the lateral midtarsal part.
15. The shoe sole of claim 14, wherein one said concavely rounded bulge is located at the main longitudinal arch part.
16. The shoe sole of claim 10, wherein one said concavely rounded bulge is located at the medial heel part.
17. A sole according to claim 10, wherein one said concavely rounded bulge is located at the rear medial forefoot part.
18. A sole according to claim 10, wherein one said concavely rounded bulge is located at the rear lateral forefoot part.
19. A sole according to claim 10, wherein one concavely rounded bulge is located at the lateral heel part.
20. A sole according to claim 10, wherein one said concavely rounded bulge is located at the forward medial forefoot part.
21. A sole according to claim 10, wherein one said concavely rounded bulge is located at the rear medial forefoot part and another said concavely rounded bulge is located at the rear lateral forefoot part, the sole forming a

groove between said bulges, as viewed in a shoe sole frontal plane during an upright, unloaded shoe sole condition.

22. The shoe sole of claim 10, wherein the shoe sole includes at least four said concavely rounded bulges.

23. The shoe sole of claim 10, wherein said at least one concavely rounded bulge includes a tapered portion having a thickness that decreases gradually from a greatest thickness to a least thickness on each side of the bulge, as viewed in a shoe sole horizontal plane during a shoe sole upright, unloaded condition.

24. The shoe sole of claim 10, wherein said concavely rounded portion of the sole inner surface extends to an inner surface sidemost extent of said sole side, as viewed in a shoe sole frontal plane during a shoe sole unloaded, upright condition, the concavity existing with respect to the intended wearer's foot location in the shoe.

25. The shoe sole of claim 10, wherein the concavely rounded portion of the sole outer surface extends from the outer surface middle portion to an outer surface sidemost extent of said sole side, as viewed in a shoe sole frontal plane during a shoe sole unloaded, upright condition, the concavity existing with respect to the intended wearer's foot location in the shoe.

26. The shoe sole of claim 10, wherein one said concavely rounded bulge extends to an outer surface sidemost extent of the same sole side as said bulge, as viewed in a shoe sole frontal plane.

27. The shoe sole of claim 10, wherein said outer surface portion of at least one said concavely rounded bulge decreases gradually from a greatest thickness to a least thickness, as viewed in a shoe sole sagittal plane during a shoe sole upright, unloaded condition.

28. The shoe sole of claim 27, wherein the outer surface portion of one said concavely rounded bulge defines a portion of a concavely rounded outer surface of the shoe sole, as viewed in the sagittal plane, during the shoe sole upright, unloaded condition, the concavity existing with respect to the intended wearer's foot location in the shoe.

29. An athletic shoe sole for supporting a foot of an intended wearer, the shoe sole comprising:

a sole inner surface for supporting the foot of the intended wearer, and a sole outer surface, the sole outer surface having at least a sole middle portion and a sole side adjacent to the sole middle portion;

the sole defining a heel portion at a location substantially corresponding to a calcaneus of the intended wearer's foot, a midtarsal portion at a location substantially corresponding to a midtarsal of the intended wearer's foot, and a forefoot portion at a location substantially corresponding to a forefoot of an intended wearer's foot;

the heel portion having a lateral heel part at a location substantially corresponding to the lateral tuberosity of the calcaneus of the intended wearer's foot, and a medial heel part at a location substantially corresponding to the base of the calcaneus of the intended wearer's foot;

the midtarsal portion being between the forefoot portion and heel portion, and having a lateral midtarsal part at a location substantially corresponding to the base of a fifth metatarsal of the intended wearer's foot, and a main longitudinal arch part at a location substantially corresponding to the longitudinal arch of the intended wearer's foot;

the forefoot portion having a forward medial forefoot part at a location substantially corresponding to the head of

the first distal phalange of the intended wearer's foot, and rear medial and lateral forefoot parts at locations substantially corresponding to the heads of the medial and lateral metatarsals of the intended wearer's foot;

the sole further including at least one concavely rounded bulge, as viewed in a shoe sole frontal plane, during a shoe sole unloaded, upright condition, the concavity existing with respect to the intended wearer's foot location in the shoe,

one said concavely rounded bulge being located at least at one of the: medial heel part, lateral heel part, forward medial forefoot part, rear medial forefoot part, rear lateral forefoot part, lateral midtarsal part, and main longitudinal arch part;

each said at least one concavely rounded bulge including a concavely rounded portion of the inner and outer surfaces of a midsole component, the concavely rounded portion of the sole outer surface extending through at least a lowermost part of said middle portion;

the sole including a lateral sidemost section and a medial sidemost section, each section at a location outside of a straight vertical line extending through the shoe sole at a respective sidemost extent of a midsole component inner surface, as viewed in a shoe sole frontal plane cross section during an unloaded, upright shoe sole condition;

at least one sole side includes midsole component extending into the sidemost section of the same sole side as said bulge, as viewed in a shoe sole frontal plane cross section during an unloaded, upright shoe sole condition;

said at least one sole side further includes a midsole component upper part extending up said at least one sole side to above a level corresponding to a lowest point of the midsole component inner surface of the same sole side as said bulge, as viewed in a shoe sole frontal plane cross section during an unloaded, upright shoe sole condition; and

the sole outer surface of at least part of the midtarsal portion is substantially convexly rounded, as viewed in a shoe sole sagittal plane cross section during an unloaded, upright shoe sole condition, the convexity existing with respect to an intended wearer's foot location in the shoe; and wherein

the shoe sole includes at least two said concavely rounded bulges; and

a heel portion thickness that is greater than a forefoot portion thickness, as viewed in a shoe sole sagittal plane cross section.

30. The shoe sole of claim 29, wherein one said concavely rounded bulge encompasses substantially all of the said respective part.

31. The shoe sole of claim 30, wherein one said concavely rounded bulge encompasses only said respective part.

32. The shoe sole of claim 30, wherein the shoe sole includes at least three said concavely rounded bulges.

33. The shoe sole of claim 30, wherein one said concavely rounded bulge includes a tapered portion having a thickness that decreases gradually from a greatest thickness to a least thickness on a side of the bulge, as viewed in a shoe sole horizontal plane during a shoe sole upright, unloaded condition.

34. The shoe sole of claim 30, further including an indentation in the shoe sole adjacent to one said concavely rounded bulge, as viewed in a horizontal plane during a shoe sole upright, unloaded condition.

## 19

35. The shoe sole of claim 34, wherein the indentation is a first indentation, and the sole includes a second indentation, such that the first indentation is located anterior to said at least one concavely rounded bulge and the second indentation is located posterior to said at least one concavely rounded bulge, all as viewed in a shoe sole horizontal plane during a shoe sole upright, unloaded condition.

36. The shoe sole of claim 30, wherein the tapered portion of said at least one concavely rounded bulge includes a sole outer surface portion which is concavely rounded as viewed in the shoe sole horizontal plane during a shoe sole upright, unloaded condition, the concavity existing with respect to a longitudinal axis of the shoe sole.

37. The shoe sole of claim 36, wherein the shoe sole includes at least three said concavely rounded bulges.

38. The shoe sole of claim 36, wherein one said concavely rounded bulge is located at the rear medial forefoot part.

39. The shoe sole of claim 36, wherein one said concavely rounded bulge is located at the rear lateral forefoot part.

40. The shoe sole of claim 36, wherein one said concavely rounded bulge is located at the lateral heel part.

41. The shoe sole of claim 36, wherein one said concavely rounded bulge is located at the forward medial forefoot part.

42. The shoe sole of claim 36, wherein one said concavely rounded bulge is located at the medial heel part.

43. The shoe sole of claim 36, wherein one said concavely rounded bulge is located at the lateral midtarsal part.

44. The shoe sole of claim 43, wherein said at least one concavely rounded bulge is located at the main longitudinal arch part.

45. The shoe sole of claim 36, wherein said at least one concavely rounded bulge is located at the rear medial forefoot part, a second said at least one concavely rounded bulge is located at the rear lateral forefoot part, the sole forming a groove between the first and second bulges as viewed in a frontal plane cross section during an upright, unloaded shoe sole condition.

46. The shoe sole of claim 36, wherein said at least one concavely rounded bulge includes a tapered portion having a thickness that decreases gradually from a greatest thickness to a least thickness on each side of the bulge, as viewed in a shoe sole horizontal plane during a shoe sole upright, unloaded condition.

47. A sole according to claim 36, wherein said concavely rounded portion of the sole inner surface extends to an inner surface sidemost extent of said sole side, as viewed in a shoe sole frontal plane during a shoe sole unloaded, upright condition, the concavity existing with respect to the intended wearer's foot location in the shoe.

48. The shoe sole of claim 36, wherein the concavely rounded portion of the sole outer surface extends from the outer surface middle portion to an outer surface sidemost extent of the sole side, as viewed in a shoe sole frontal plane during a shoe sole unloaded, upright condition, the concavity existing with respect to the intended wearer's foot location in the shoe.

49. The shoe sole of claim 36, wherein said at least one concavely rounded bulge includes a tapered portion that decreases gradually from a greatest thickness to a least thickness, as viewed in a shoe sole sagittal plane during a shoe sole upright, unloaded condition, the convexity existing with respect to the intended wearer's foot location in the shoe.

50. The shoe sole of claim 49, wherein the tapered portion of said at least one concavely rounded bulge includes a sole outer surface portion which is concavely rounded, as viewed in the sagittal plane, during a shoe sole upright, unloaded

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condition, the concavity existing with respect to the intended wearer's foot location in the shoe.

51. A shoe sole for supporting a foot of an intended wearer, the shoe sole comprising:

a sole inner surface for supporting the foot of the intended wearer, and a sole outer surface, the outer surface having a sole bottom portion and at least a sole side adjacent to the sole bottom portion;

the sole defining a heel portion at a location substantially corresponding to a calcaneus of the intended wearer's foot, a midtarsal portion at a location substantially corresponding to a midtarsal of the intended wearer's foot, and a forefoot portion at a location substantially corresponding to a forefoot portion of an intended wearer's foot;

the heel portion having a lateral heel part at a location substantially corresponding to the lateral tuberosity of the calcaneus of the intended wearer's foot, and a medial heel part at a location substantially corresponding to the base of the calcaneus of the intended wearer's foot;

the midtarsal portion being between the forefoot portion and heel portion, and having a lateral midtarsal part at a location substantially corresponding to the base of a fifth metatarsal of the intended wearer's foot, and a main longitudinal arch part at a location substantially corresponding to the longitudinal arch of the intended wearer's foot;

the forefoot portion having a forward medial forefoot part at a location substantially corresponding to the head of the first distal phalange of the intended wearer's foot, and rear medial and lateral forefoot parts at locations substantially corresponding to the heads of medial and lateral metatarsal of the intended wearer's foot;

the sole further including at least one concavely rounded bulge, as viewed in a shoe sole frontal plane, during a shoe sole unloaded, upright condition, the concavity existing with respect to the intended wearer's foot location in the shoe,

one said concavely rounded bulge being located at least at one of the: medial heel part, lateral heel part, forward medial forefoot part, rear medial forefoot part, rear lateral forefoot part, lateral midtarsal part, and main longitudinal arch part;

each said at least one concavely rounded bulge including a contour about the sole inner surface and the sole outer surface, the outer surface contour extending through at least a part of both said sole bottom portion and said sole side to a lateral extent of the sole side;

wherein one said bulge is located at the lateral midtarsal part, another said bulge is located at the rear lateral forefoot part, the sole having a first indentation between the lateral midtarsal and rear lateral forefoot part bulges for forming a first flexibility axis in the sole; another said bulge being located at the lateral heel part, and a second indentation is located between the lateral heel part bulge and the lateral midtarsal part bulge for forming a second flexibility axis in the sole;

including a further bulge at the main longitudinal arch part;

wherein the main longitudinal arch part is separated from the lateral midtarsal part bulge by a further indentation for forming a third flexibility axis;

another said bulge being located at the rear medial forefoot part and the first indentation extends along the first

21

flexibility axis between the rear medial forefoot part and the midtarsal portion of the sole, the first flexibility axis extending between main longitudinal arch part bulge and the rear medial forefoot bulge; and

a heel portion thickness that is greater than a forefoot portion thickness, as viewed in a shoe sole sagittal plane.

52. A sole according to claim 51, wherein the second flexibility axis extends between the main longitudinal arch part bulge and the lateral heel part bulge.

53. A shoe sole for supporting a foot of an intended wearer, the shoe sole comprising:

a sole outer surface and a sole inner surface for supporting the intended wearer's foot;

the sole defining a heel portion at a location substantially corresponding to a calcaneus of the intended wearer's foot, a midtarsal portion at a location substantially corresponding to a midtarsal of the intended wearer's foot, and a forefoot portion at a location substantially corresponding to a forefoot of an intended wearer's foot;

the heel portion having a lateral heel part at a location substantially corresponding to the lateral tuberosity of the calcaneus of the intended wearer's foot, and a medial heel part at a location substantially corresponding to the base of the calcaneus of the intended wearer's foot;

the midtarsal portion being between the forefoot portion and heel portion, and having a lateral midtarsal part at a location substantially corresponding to the base of a fifth metatarsal of the intended wearer's foot, and a main longitudinal arch part at a location substantially corresponding to the longitudinal arch of the intended wearer's foot;

the forefoot portion having a forward medial forefoot part at a location substantially corresponding to the head of

22

the first distal phalange of the intended wearer's foot, and rear medial and lateral forefoot parts at locations substantially corresponding to the heads of medial and lateral metatarsal of the intended wearer's foot;

the sole including a plurality of concavely rounded bulges, the concavity existing with respect to the intended wearer's foot location in the shoe, as viewed in a shoe sole frontal plane during a shoe sole upright, unloaded condition, the bulges including:

(i) a first said bulge located at the lateral midtarsal part;

(ii) a second said bulge at the rear lateral forefoot part, the sole having a first indentation between said first and second bulges for forming a first flexibility axis in the sole;

(iii) a third said bulge at the lateral heel part, and a second indentation between the lateral heel part third bulge and the lateral midtarsal part first bulge forming a second flexibility axis in the sole;

(iv) a fourth said bulge at the main longitudinal arch part; the main longitudinal arch part fourth bulge being separated from the lateral midtarsal part bulge by a third indentation forming a third flexibility axis;

(v) a fifth said bulge at the rear medial forefoot part and a further indentation along the first flexibility axis and extending between the rear medial forefoot part and the midtarsal portion of the sole, the main longitudinal arch part bulge being separated from the rear medial forefoot part fifth bulge by the first flexibility axis; and

a heel portion thickness that is greater than a forefoot portion thickness, as viewed in a shoe sole sagittal plane.

54. A sole according to claim 53, wherein the second flexibility axis extends between the main longitudinal arch part fourth bulge and the lateral heel part third bulge.

\* \* \* \* \*



UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**  
6,163,982

PATENT NO. :  
DATED : December 26, 2000  
INVENTOR(S) : Frampton E. ELLIS, III

Page 1 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, at Item [57], replace the Abstract with the following:

-- **ABSTRACT**

A shoe sole particularly for athletic footwear for supporting the foot of an intended wearer having multiple rounded bulges existing as viewed in a frontal plane of the sole during a shoe unloaded, upright condition. The bulges include concavely rounded inner and outer portions for approximating the structure of and support provided by the natural foot. When utilizing multiple bulges, the shoe sole may include indentations between the bulges to define a flexibility axis of the shoe sole. The bulges can be located proximate to important structural support areas of an intended wearer's foot on either or both sides of the shoe sole or the middle portion of the shoe sole, or on various combinations of these locations. The bulges include side and upper midsole portions to improve stability while also improving cushioning and comfort. The bulges can be tapered as viewed in a

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,163,982  
DATED : December 26, 2000  
INVENTOR(S) : Frampton E. ELLIS, III

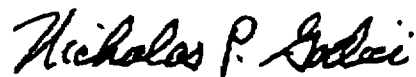
Page 2 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

horizontal plane to improve flexibility and reduce unnecessary weight. --

Signed and Sealed this  
Twenty-ninth Day of May, 2001

Attest:



NICHOLAS P. GODICI

Attesting Officer

Acting Director of the United States Patent and Trademark Office

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,163,982  
DATED : December 26, 2000  
INVENTOR(S) : Ellis, III

Page 1 of 3

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page,

Item [56], insert the following references.

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UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,163,982  
DATED : December 26, 2000  
INVENTOR(S) : Ellis, III

Page 2 of 3

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

2,328,242	8/1943	Witherill
2,147,197	2/1939	Glidden
2,120,987	6/1938	Murray
1,289,106	12/1918	Bullock
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1 176 458	10/1984	Canada
36 29 245	3/1988	Germany
27 37 765	3/1979	Germany
1 685 260	10/1971	Germany
1 290 844	3/1969	Germany
0 260 777	3/1988	European Pat. Off.
0 213 257	3/1987	European Pat. Off.
0 185 781	7/1986	European Pat. Off.
0 048 965	4/1982	European Pat. Off.
1.004.472	3/1952	France
602.501	3/1926	France
59-23525	7/1984	Japan
57-139333	8/1982	Japan
45-5154	3/1970	Japan
39-15597	8/1964	Japan
189890	9/1981	New Zealand
2 136 670	9/1984	United Kingdom
764,956	1/1957	United Kingdom
9 591	4/1913	United Kingdom

**OTHER PUBLICATIONS**

Nigg et al., "The influence of lateral heel flare of running shoes on pronation and impact forces," *Medicine and Science in Sports and Exercise*, Vol. 19, No. 3, 1987, pp. 294-302

Nigg, et al., "Influence of Heel Flare and Midsole Construction on Pronation, Supination, and Impact Forces for Heel-Toe Running," *International Journal of Sports Biomechanics*, Vol. 4, No. 3, August 1988, 4, pp. 205-219

Executive Summary with seven figures --

Column 2,  
Line 61, delete "the".

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,163,982  
DATED : December 26, 2000  
INVENTOR(S) : Ellis, III

Page 3 of 3

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 5,  
Line 60, change "supper" to -- upper --.


Column 6,  
Line 19, change "note" to -- noted --.

Column 9,  
Line 56, change "show" to -- shows --, and  
Line 63, change "show" to -- shows --.

Signed and Sealed this

Second Day of July, 2002

*Attest:*

A handwritten signature in black ink, appearing to read "James E. Rogan", written over a horizontal line.

*Attesting Officer*

JAMES E. ROGAN  
*Director of the United States Patent and Trademark Office*

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,163,982  
APPLICATION NO. : 08/477954  
DATED : December 26, 2000  
INVENTOR(S) : Frampton E. Ellis, III

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 1, replace the paragraph immediately following "CROSS-REFERENCE TO RELATED APPLICATIONS", with the following paragraph:

-- This application is a continuation-in-part of U.S. Patent application no. 08/376,661 filed on January 23, 1995, now U.S. Patent no. 6,810,606; which is a continuation of U.S. Patent application no. 08/127,487, filed on September 28, 1993, now abandoned; which is a continuation of U.S. Patent application no. 07/729,886, filed on July 11, 1991, now abandoned; which is a continuation of U.S. Patent application no. 07/400,714, filed on August 30, 1989, now abandoned; which is both a continuation-in-part of International Patent application no. PCT/US89/03076, filed on July 14, 1989, designating the United States; and a continuation-in-part of U.S. Patent application no. 07/239,667, filed on September 2, 1988, now abandoned.--

Signed and Sealed this

Twenty-seventh Day of November, 2007



JON W. DUDAS

*Director of the United States Patent and Trademark Office*